

Notice of Allowability

Application No.

09/736,707

Examiner

Alain L. Bashore

Applicant(s)

HOWELL ET AL.

Art Unit

1762

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 10-3-06.
2. ☒ The allowed claim(s) is/are 2-3, 5-12, 14-16, 21-22.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.


Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
 5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date _____
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413),
Paper No./Mail Date _____
7. ☐ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____.


ALAIN L. BASHORE
PRIMARY EXAMINER

DETAILED ACTION

Response to Amendment

1. The substitute specification filed 10-3-06 is hereby entered. With the amendment filed 10-3-06 of all independent claims to incorporate indicated allowable subject matter, the previous rejection of record is hereby withdrawn.

Allowable Subject Matter

2. Claims 2-3, 5-12, 14-16, 21-22 are allowed

Reasons for Allowance

3. The present invention includes independent claims 2, 5, and 14. Claim 2 recites a method for disseminating stock quotes in real time, claim 5 recited a stock quoting system, and claim 14 recites a system for disseminating real time information.

Brattain et al is considered the closest prior art. Brattain et al discloses a method and system for disseminating real time information. Information as stock quotes is available from a provider of the information by a quote server (para 0046). A database is associated with the quote server (31).

Brattain et al does not disclose the claimed combination including:

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Response to Amendment

1. The substitute specification filed 10-3-06 is hereby entered. With the amendment filed 10-3-06 of all independent claims to incorporate indicated allowable subject matter, the previous rejection of record is hereby withdrawn.

Allowable Subject Matter

2. Claims 2-3, 5-12, 14-16, 21-22 are allowed. The drawings filed 11-27-02 are approved.

Reasons for Allowance

3. The present invention includes independent claims 2, 5, and 14. Claim 2 recites a method for disseminating stock quotes in real time, claim 5 recited a stock quoting system, and claim 14 recites a system for disseminating real time information.

Brattain et al is considered the closest prior art. Brattain et al discloses a method and system for disseminating real time information. Information as stock quotes is available from a provider of the information by a quote server (para 0046). A database is associated with the quote server (31).

Brattain et al does not disclose the claimed combination including:

In claim 2:

receiving stock quote information from a field vender by a quote server;

in response to a stock quote request from a user by a contact server, said contact server requesting said stock quote request from said stock quote server;

sending a respective stock quote according to said stock quote request to said contact server by said quote server; and

disseminating said stock quote to said user by said contact server.

In claim 5:

a quote server positioned to receive stock quote information from a field vender;

a contact server positioned to request a stock quote request from said quote server in response to said stock quote request being requested by a user;

means for sending a respective stock quote according to said stock quote request to said contact server by said quote server; and

means for disseminating said stock quote to said user by said contact server.

In claim 14:

a quote server positioned to receive stock quote information from a field vendor;

a contact server positioned to request a stock quote from the quote server responsive to the stock quote request being requested by a user;

means associated with the quote server and responsive to the stock quote request for sending a stock quote to the contact server; and

means associated with the contact server for disseminating the stock quote received from the quote server to at least one user.

For these reasons claims 2, 5, and 14 are deemed to be allowable over the prior art of record, and claims 3, 6-12, 15-16, 21-22 are allowable by dependency.

Conclusion

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alain L. Bashore whose telephone number is 571-272-6739. The examiner can normally be reached on about 7:30 am to 5:00 pm (Mon. thru Thurs.).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Timothy Meeks can be reached on 571-272-1423. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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5. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Alain L. Bashore
Primary Examiner
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